

4402-003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : DIMITRI CAPLYGIN
Serial No. : 10/018,733
Filed : DECEMBER 17, 2001
Group No. : pending
Examiner : pending
For : SYSTEM FOR ENHANCEMENT OF
NEUROPHYSIOLOGICAL PROCESSES

Commissioner for Patents BOX PCT
United States Patent and Trademark Office
Washington, DC 20231

TRANSMITTAL OF MISSING REQUIREMENTS

Sir:

The Applicant hereby transmits the following in response to the Notification of Missing Requirements dated January 31, 2002:

- (1) Copy of Notification of Missing Requirements;
- (2) Declaration and Power of Attorney; and
- (3) Check in the amount of \$27.00 and fee transmittal form in duplicate; and
- (4) Postcard for return;

I hereby certify that the foregoing documents are being deposited with the United States Postal Service, Express Mail, Post Office to Addressee in an envelope addressed to the Commissioner for Patents, Box Patent Application, Washington, DC 20231 on the date below.
(Express Mail label: EL 803334631 US)

04/02/2002 NGUYEN 00000187 10018733

01 FC:967

27.00 OP

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Raymond B. Churchill, Jr. Reg. No. 44,617

Dated: March 28, 2002

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEETRANSMITTAL for FY2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 27.00)

Complete if Known

Application Number	10/018,733
Filing Date	6/15/2000
First Named Inventor	BERTHON-JONES, Michael
Examiner Name	pending
Group Art Unit	pending
Attorney Docket No.	4402-003

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number **07-1730**

Deposit Account Name

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee Description	Fee Paid
		101710201355	Utility filing fee	
		106320206160	Design filing fee	
		107490207245	Plant filing fee	
		108710208355	Reissue filing fee	
		11415021475	Provisional filing fee	

SUBTOTAL(1) (\$ 0.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** = 0	0	0.00
Multiple Dependent Claims	-3** = 0	0	0.00

Large Entity	Small Entity	Fee Code	Fee Description	Fee Paid
		103182039	Claims in excess of 20	
		1028020240	Independent claims in excess of 3	
		104270204135	Multiple dependent claim, if not paid	
		1098020940	**Reissue independent claims over original patent	
		110182109	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL(2) (\$ 0.00)

**number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee Description	Fee Paid
		10513020565	Surcharge-late filing fee or oath	
		1275022725	Surcharge-late provisional filing fee or coversheet	
		139130139130	Non-English specification	
		1472,5201472,520	For filing a request for <i>parte</i> reexamination	
		112920*112920*	Requesting publication of SIR prior to Examiner action	
		1131,840*1131,840*	Requesting publication of SIR after Examiner action	
		11511021555	Extension for reply within first month	
		116390216195	Extension for reply within second month	
		117890217445	Extension for reply within third month	
		1181,390218695	Extension for reply within fourth month	
		1281,890228945	Extension for reply within fifth month	
		119310219155	Notice of Appeal	
		120310220155	Filing a brief in support of an appeal	
		121270221135	Request for oral hearing	
		1381,5101381,510	Petition to institute a public use proceeding	
		14011024055	Petition to revive-unavoidable	
		1411,240241620	Petition to revive-unintentional	
		1421,240242620	Utility issue fee (or reissue)	
		143440243220	Design issue fee	
		144600244300	Plant issue fee	
		122130122130	Petition to the Commissioner	
		123130123130	Petitions related to provisional applications	
		126180126180	Submission of Information Disclosure Stmt	
		5814058140	Recording each patent assignment per property (times number of properties)	
		146710246355	Filing a submission after final rejection (37 CFR § 1.129(a))	
		149710249355	For each additional invention to be examined (37 CFR § 1.129(b))	
		179710279355	Request for Continued Examination (RCE)	
		169900169900	Request for expedited examination of a design application	
		Other fee (specify) --- Additional claim fees		27.00

*Reduced by Basic Filing Fee Paid

SUBTOTAL(3) (\$ 27.00)

SUBMITTED BY

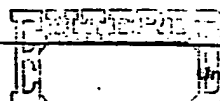
Name (Print/Type)	Raymond B. Churchill, Jr.	Registration No. (Attorney/Agent)	44,617	Telephone	212-684-3900
Signature				Date	March 28, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comment on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



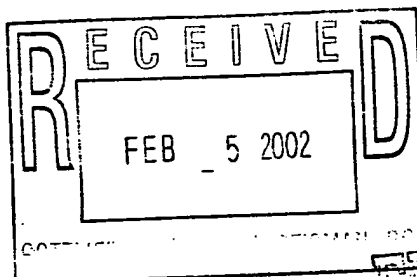
UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/018,733	FIRST NAMED APPLICANT Dimitri Caplycin	ATTY. DOCKET NO. 4402-003
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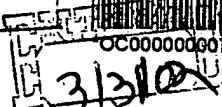


INTERNATIONAL APPLICATION NO. PCT/AU00/00661	
LA. FILING DATE 06/15/2000	PRIORITY DATE 06/15/1999

CONFIRMATION NO. 5008
371 FORMALITIES LETTER



OC00000007378700*



Date Mailed: 01/31/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Additionally the following defects have been observed:

- Additional claim fees of \$27 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are

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due.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$27 for a Small Entity:

- Total additional claim fee(s) for this application is \$27
 - \$27 for 62 total claims over 20.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

MAMIE P PERSON

Telephone: (703) 305-3737

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/018,733	PCT/AU00/00661	4402-003